



New York City Metro InfraGard



Presents

New FFIEC Guidelines and the Impact on Internet Banking

Protecting Customer Information – A Roadmap for Compliance

Sponsored by  **STRIKEFORCE**
Identity Solutions You Can Trust

- **Date & Time:** May 16, 2006, 09:00 a.m. – 3:00 p.m.
- **Location:** Metropolitan Transportation Authority (MTA)
2 Broadway, New York, NY
- **Abstract:**

The U.S. Census Bureau reports that total e-commerce sales for 2005 were estimated at \$86.3 billion, an increase of 24.6 percent from 2004 and accounting for 2.3 percent of total sales. However, fraudulent transactions and the risks to personal information, including identity theft, pose significant barriers to general acceptance of this banking medium, threatening to stifle business growth for participating financial institutions. Recently, the FFIEC published guidance on strengthening authentication for Internet banking in an effort help banks and credit unions protect customer information and promote the free-market growth of online banking.

This seminar presents current challenges faced by FFIEC-governed and voluntarily compliant institutions, explores the new guidelines, and samples successful strategies for compliance. Attendees will leave with a clear understanding of the requirements posed by the new guidance, along with suggested paths to successful outcomes for their institution. Ample opportunities to network with peers will enhance the value of your participation.

The seminar is a must for institutions preparing for new or upgraded online customer financial applications.

- **Who Should Attend:**
Financial services executives and others responsible for FFIEC compliance and solution implementation, including: Compliance Officers, Risk Managers, Internet Banking Line of Business Managers, Security Managers, IT managers, and Internet Security Specialists of Banking institutions and Federal Credit Unions.
- **Registration:**
The seminar is free to industry professionals. Pre-registration is required. Please visit www.nym-infragard.us for additional information and to register.

Agenda

- 08:30 Registration & Coffee
- 09:00 NYM InfraGard Business – Elections
- 09:05 **The Public–Private Partnership Advantage
& Introduction of the New NY FBI InfraGard Coordinator**
Joseph R. Concannon, President, NYC Metro InfraGard,
SA Charles Muldoon, FBI InfraGard Coordinator
- 09:20 **Regulatory Guidance FFIEC 10-05: Five Keys to Compliance – A
Guide to Risk-based Assessments, Customer Awareness, and
Security Measures**
Thomas J. Donahue, IT Field Manager, Office of Thrift Supervision,
FFIEC
- 10:20 **A Roadmap to Compliance – Pulling It All Together**
Don Rhodes, Policy Manager – Payments & Technology, American
Banking Association
- 11:05 *Networking Break*
- 11:30 **Vetting the Customer – Account Origination, Customer Verification, &
User Authentication**
Mark Kay, CEO, StrikeForce Technologies
- 12:00 **How Risky is This Transaction? – Risk Assessment and Mitigation**
Kevin Quaile, VP of eCommerce, Wachovia
- 12:30 *Lunch & Networking*
- 1:30 **Monitoring and Reporting**
Mun-Yee Mak, Sr. VP & Deputy Auditor, Bank of Tokyo-Mitsubishi
UFJ
- 2:00 **Customer Awareness**
Jon McDowall, President, Fraud Resource Group
- 2:30 **Experiences and Best Practices in Compliance – A Panel
Discussion**
Moderator – Don Rhodes, American Banking Association
Panelists – Mun-Yee Mak (Bank of Tokyo), Jon McDowall (Fraud
Resource Group), Kevin Quaile (Wachovia), Rob Weaver (ING
DIRECT)
- 3:00 **Close**